STIC Biotechnology Systems Branch

RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable form:

Application Serial Number:	10/5/12.324B
Source:	, IFWO
Date Processed by STIC:	7/6/06
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THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

- 1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,
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FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION 4.4.0 PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail.

Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom.

Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (<http://www.uspto.gov/ebc/efs/downloads/documents.htm>, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- 3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05): U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/10/06

Raw Sequence Listing Error Summary

ERROR DETECTED	SUGGESTED CORRECTION SERIAL NUMBER: 10/5/7, 324B
ATTN: NEW RULES CASES	S: PLEASE DISREGARD ENGLISH "ALPHA" HEADERS, WHICH WERE INSERTED BY PTO SOFTWARE
IWrapped Nucleics Wrapped Aminos	The number/text at the end of each line "wrapped" down to the next line. This may occur if your file was retrieved in a word processor after creating it. Please adjust your right margin to .3; this will prevent "wrapping."
2Invalid Line Lengt	h The rules require that a line not exceed 72 characters in length. This includes white spaces.
3Misaligned Amino Numbering	The numbering under each 5 th amino acid is misaligned. Do not use tab codes between numbers; use space characters, instead.
4Non-ASCII	The submitted file was not saved in ASCII(DOS) text, as required by the Sequence Rules. Please ensure your subsequent submission is saved in ASCII text.
5Variable Length	Sequence(s) contain n's or Xaa's representing more than one residue. Per Sequence Rules, each n or Xaa can only represent a single residue. Please present the maximum number of each residue having variable length and indicate in the <220>-<223> section that some may be missing.
6PatentIn 2.0 "bug"	A "bug" in PatentIn version 2.0 has caused the <220>-<223> section to be missing from amino acid sequences(s) Normally, PatentIn would automatically generate this section from the previously coded nucleic acid sequence. Please manually copy the relevant <220>-<223> section to the subsequent amino acid sequence. This applies to the mandatory <220>-<223> sections for Artificial or Unknown sequences.
7Skipped Sequences (OLD RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence: (2) INFORMATION FOR SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) (i) SEQUENCE CHARACTERISTICS: (Do not insert any subheadings under this heading) (xi) SEQUENCE DESCRIPTION:SEQ ID NO:X: (insert SEQ ID NO where "X" is shown) This sequence is intentionally skipped Please also adjust the "(ii) NUMBER OF SEQUENCES:" response to include the skipped sequences.
8Skipped Sequences (NEW RULES)	Sequence(s) missing. If intentional, please insert the following lines for each skipped sequence. <210> sequence id number <400> sequence id number 000
9Use of n's or Xaa's (NEW RULES)	Use of n's and/or Xaa's have been detected in the Sequence Listing. Per 1.823 of Sequence Rules, use of <220>-<223> is MANDATORY if n's or Xaa's are present. In <220> to <223> section, please explain location of n or Xaa, and which residue n or Xaa represents.
10Invalid <213> Response	Per 1.823 of Sequence Rules, the only valid <213> responses are: Unknown, Artificial Sequence, or scientific name (Genus/species). <220>-<223> section is required when <213> response is Unknown or is Artificial Sequence. (see item 11 below)
11Use of <220>	Sequence(s) missing the <220> "Feature" and associated numeric identifiers and responses Use of <220> to <223> is MANDATORY if <213> "Organism" response is "Artificial Sequence" or "Unknown."
-) (Please explain source of genetic material in <220> to <223> section or use "chemically synthesized" as explanation. (See "Federal Register," 06/01/1998, Vol. 63, No. 104, pp. 29631-32), also Sec. 1.823 of Sequence Rules
PatentIn 2.0 "bug"	Please do not use "Copy to Disk" function of PatentIn version 2.0. This causes a corrupted file, resulting in missing mandatory numeric identifiers and responses (as indicated on raw sequence listing). Instead, please use "File Manager" or any other manual means to copy file to floppy disk.
13 Misuse of n/Xaa	"n" can only represent a single nucleotide; "Xaa" can only represent a single amino acid



IFWO

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RAW SEQUENCE LISTING
                                                            DATE: 07/06/2006
                                                               sle item 4 on Euro
Summany Sheet
                     PATENT APPLICATION: US/10/517,324B
                                                             TIME: 18:15:02
                     Input Set : A:\PTO.KD.txt
                     Output Set: N:\CRF4\07062006\J517324B.raw
      3 <110> APPLICANT: SinoGenoMax Company Ltd
 W--> 4 <120> TITLE OF INVENTION: Randomised DNA libraries and double-stranded RNA libraries,
 use and
              method of production thereof
 ₩--> 5
                                                                      7/1507 There are
7/1517 prior data.
      7 <130> FILE REFERENCE: P06031PC00
W--> 8 (140 CURRENT APPLICATION NUMBER: PCT/SE2003/001077B
      9(<141>) CURRENT FILING DATE: 2004-12-20
     10 <150> PRIOR APPLICATION NUMBER: US 60/390,108
     11 <151> PRIOR FILING DATE: 2002-06-21
                                                          see pp. 1, 4-5
W--> 12 <160> NUMBER OF SEQ ID: 45
     13 <170> SOFTWARE: PatentIn version 3.1
                                                        Does Not Comply
                                                       Corrected Diskette Needed
ERRORED SEQUENCES
     168 <210> SEQ ID NO: 15
     169 <211> LENGTH: 19
                                             insufficient explanation que source of
genetici
modernel
(see them 1) on
Even Summary
fleet)
     170 <212> TYPE: DNA
     171 <213> ORGANISM: Artificial Sequence
W--> 172 <220> FEATURE:
     173 <223> OTHER INFORMATION: 19 base pair clone
W--> 174 <400> SEQUENCE: 15
E--> 174 where are purleotides?
     321 <210> SEQ ID NO: 31
     322 <211> LENGTH: 9
     323 <212> TYPE: DNA
     324 <213> ORGANISM: Artificial Sequence
W--> 325 <220> FEATURE:
326 <223> OTHER INFORMATION: primer
     352 <221> NAME/KEY: misc feature
     353 <222 LOCATION: (1)...(19)
W--> 354 (<220) FEATURE:
     354 <223 > OTHER INFORMATION: n= a, "t", "c", or"g"
(B) > 355 < 400 > SEQUENCE: 34
W--> 356 nnnnnnnnn nnnnnnnn
                                                                             19
```

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/517,324B TIME: 18:15:03

DATE: 07/06/2006

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\07062006\J517324B.raw

```
L:4 M:283 W: Missing Blank Line separator, <120> field identifier
L:8 M:283 W: Missing Blank Line separator, <140> field identifier
L:8 M:270 C: Current Application Number differs, Replaced Current Application Number
L:9 M:271 C: Current Filing Date differs, Replaced Current Filing Date
L:12 M:283 W: Missing Blank Line separator, <160> field identifier
L:19 M:283 W: Missing Blank Line separator, <220> field identifier
L:21 M:283 W: Missing Blank Line separator, <400> field identifier
L:28 M:283 W: Missing Blank Line separator, <220> field identifier
L:30 M:283 W: Missing Blank Line separator, <400> field identifier
L:37 M:283 W: Missing Blank Line separator, <220> field identifier
L:38 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:3
L:41 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:3
L:44 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:3
L:44 M:283 W: Missing Blank Line separator, <400> field identifier
L:45 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:3 after pos.:0
L:67 M:283 W: Missing Blank Line separator, <220> field identifier
L:69 M:283 W: Missing Blank Line separator, <400> field identifier
L:76 M:283 W: Missing Blank Line separator, <220> field identifier
L:78 M:283 W: Missing Blank Line separator, <400> field identifier
L:85 M:283 W: Missing Blank Line separator, <220> field identifier
L:87 M:283 W: Missing Blank Line separator, <400> field identifier
L:94 M:283 W: Missing Blank Line separator, <220> field identifier
L:96 M:283 W: Missing Blank Line separator, <400> field identifier
L:103 M:283 W: Missing Blank Line separator, <220> field identifier
L:104 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:8
L:107 M:283 W: Missing Blank Line separator, <400> field identifier
L:108 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:8 after pos.:0
L:114 M:283 W: Missing Blank Line separator, <220> field identifier
L:115 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:9
L:118 M:283 W: Missing Blank Line separator, <400> field identifier
L:119 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:9 after pos.:0
L:125 M:283 W: Missing Blank Line separator, <220> field identifier
L:126 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:10
L:129 M:283 W: Missing Blank Line separator, <400> field identifier
L:130 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:10 after pos.:0
L:136 M:283 W: Missing Blank Line separator, <220> field identifier
L:138 M:283 W: Missing Blank Line separator, <400> field identifier
L:145 M:283 W: Missing Blank Line separator, <220> field identifier
L:147 M:283 W: Missing Blank Line separator, <400> field identifier
L:154 M:283 W: Missing Blank Line separator, <220> field identifier
L:156 M:283 W: Missing Blank Line separator, <400> field identifier
L:163 M:283 W: Missing Blank Line separator, <220> field identifier
L:165 M:283 W: Missing Blank Line separator, <400> field identifier
L:172 M:283 W: Missing Blank Line separator, <220> field identifier
L:174 M:283 W: Missing Blank Line separator, <400> field identifier
L:174 M:301 E: (44) No Sequence Data was Shown, SEQ ID:15
L:174 M:252 E: No. of Seq. differs, <211> LENGTH:Input:19 Found:0 SEQ:15
L:180 M:283 W: Missing Blank Line separator, <220> field identifier
```

VERIFICATION SUMMARY

DATE: 07/06/2006 PATENT APPLICATION: US/10/517,324B TIME: 18:15:03

Input Set : A:\PTO.KD.txt

Output Set: N:\CRF4\07062006\J517324B.raw

```
L:182 M:283 W: Missing Blank Line separator, <400> field identifier
L:189 M:283 W: Missing Blank Line separator, <220> field identifier
L:191 M:283 W: Missing Blank Line separator, <400> field identifier
L:198 M:283 W: Missing Blank Line separator, <220> field identifier
L:200 M:283 W: Missing Blank Line separator, <400> field identifier
L:207 M:283 W: Missing Blank Line separator, <220> field identifier
L:209 M:283 W: Missing Blank Line separator, <400> field identifier
L:216 M:283 W: Missing Blank Line separator, <220> field identifier
L:218 M:283 W: Missing Blank Line separator, <400> field identifier
L:225 M:283 W: Missing Blank Line separator, <220> field identifier
L:227 M:283 W: Missing Blank Line separator, <400> field identifier
L:234 M:283 W: Missing Blank Line separator, <220> field identifier
L:236 M:283 W: Missing Blank Line separator, <400> field identifier
L:243 M:283 W: Missing Blank Line separator, <220> field identifier
L:245 M:283 W: Missing Blank Line separator, <400> field identifier
L:252 M:283 W: Missing Blank Line separator, <220> field identifier
L:253 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:24
L:257 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24 after pos.:0
L:268 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25 after pos.:0
L:279 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26 after pos.:0
L:329 M:254 E: No. of Bases conflict, LENGTH:Input:0 Counted:10 SEQ:31
L:329 M:320 E: (1) Wrong Nucleic Acid Designator, NUMBER OF INVALID KEYS:1
L:329 M:252 E: No. of Seq. differs, <211> LENGTH:Input:9 Found:10 SEQ:31
L:354 M:258 W: Mandatory Feature missing, <220> Tag not found for SEQ ID#:34
L:355 M:200 E: Mandatory Header Field missing, <220> Tag not found for SEQ ID#:34
L:356 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:34 after pos.:0
L:390 M:257 W: Feature value mis-spelled or invalid, <221> Name/Key for SEQ ID#:38
L:398 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:38 after pos.:0
L:414 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:39 after pos.:0
L:443 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:42 after pos.:0
L:454 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:43 after pos.:0
L:465 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:44 after pos.:0
L:476 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:45 after pos.:0
```

this rech explanation in 12207-12237 section, fee item/1 on Ever Summary <210> <211> 500 <212> DNA <213> Artificial Sequence <220> misc-feature <221> <222> (7)... (7) <223>n=a, "t", "c", or"g" <221> misc-feature <222> (9)... (9) <223>n=a, "t", "c", or"g" <400> 3

> same even in Segr. 8-10, 24, 25, 26, 34, 38, 39, 42, 43, 44, 45

10/517,3248 PyII This is an insufficient Sequence Iplanation for 22137 Artificial Sequence <210> 27 <211> 50 what about location 1-16, 36-50, <212> DNA <213> Artificial Sequence <220> They need explaining, (17)... (35)<222> <223> RNA coding sequence 50 stree the <400> 27 ggggaagatc taaaaaaata aatgaatcaa gaacattttt aagcttgggg 22137 responsive Artificial Seguene same enn u' fig. 28-30 glie some of geretie as Aplanations